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FULWIDER • PATTON

FULWIDER PATTON LEE & UTECHT, LLP

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LONG BEACH, CALIFORNIA 90802
(562) 432-0453 • (562) 435-6014 FAX

FACSIMILE TRANSMISSION

Date: October 15, 2003

Telephone

Facsimile

To: USPTO - Office of Initial Patent
Examiners Filing Receipt Corrections

703 746-9195

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From: Linda Dauglash

Re: Filing Receipt correction
SN 10/617,944

Client/Matter: CLEAV.65012

Number of pages (Incl. this page): 7

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☐ Original to follow

☒ Original will NOT follow

COMMENTS/MESSAGE:

Dear Sir/Madam

Please find attached a copy of the Filing Receipt listing the names of inventors Darryl L. Grubb Ronald H. Scott which were omitted on the receipt. Also attached is: 1) a copy of the face sheet of the Transmittal as filed, listing the names of all inventors and a copy of page 2 of the Transmittal indicating that a copy of the Declaration from the parent application is included; 2) a copy of the Declaration from the patent application signed by inventors Darryl L. Grubb and Ronald H. Scott; and, 3) a copy of the Acknowledgement postcard indicating that the above referenced documents were received at the time of filing. Please provide us with a corrected filing receipt. If you have any questions, please call me. Thank you.

7118.1



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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/617,944	07/11/2003	3748	375	CLEAV.65012	3	12	3

CONFIRMATION NO. 3997

Michael S. Doll
 Fulwider Patton Lee & Utecht, LLP
 Suite 1550
 200 Oceangate
 Long Beach, CA 90802

RECEIVED

OCT 13 2003

FULWIDER PATTON LEE & UTECHT
 LONG BEACH, CA 90802

FILING RECEIPT

10/15/2003 10:00:00 AM
 "OC000000011009842"

Date Mailed: 10/09/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) Darryl L. Grubb, Scottsdale, AZ
 Dennis A. Grubb, Orange, CA;
 Ronald H. Scott, Phoenix, AZ

Domestic Priority data as claimed by applicant

This application is a CON of 09/909,028 07/19/2001 PAT 6,591,890

7-15-03

Foreign Applications

If Required, Foreign Filing License Granted: 10/08/2003

Projected Publication Date: 01/15/2004

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Speed reducer for retractable screen systems

Preliminary Class

Express Mail Label No. EV 085185 JS

**UTILITY PATENT APPLICATION TRANSMITTAL
(Small Entity)***(Only for new nonprovisional applications under 37 CFR 1.53(b))*Docket No.
CLEAV.65012

Total Pages in this Submission

TO THE COMMISSIONER FOR PATENTS

Mail Stop Patent Application

P.O. Box 1450

Alexandria, VA 22313-1450

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

SPEED REDUCER FOR RETRACTABLE SCREEN SYSTEMS

and invented by:

Darryl L. Grubb
Dennis A. Grubb
Ronald H. ScottIf a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/909,028

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 14 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☐ Cross References to Related Applications (if applicable)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Sequence Listing, a Table, or a Computer Program Listing Appendix
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings (if filed)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
CLEAV.65012

Total Pages in this Submission

Application Elements (Continued)

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☐ Formal Number of Sheets _____
- b. ☒ Informal Number of Sheets three
4. ☒ Oath or Declaration
- a. ☒ Newly executed (original or copy) ☐ Unexecuted
- b. ☒ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation By Reference (usable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ CD ROM or CD-R in duplicate, large table or Computer Program (Appendix)
7. ☐ Application Data Sheet (See 37 CFR 1.76)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)
- a. ☐ Computer Readable Form (CFR)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper
- c. ☐ Statement(s) Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement/PTO-⁸⁹²~~1449~~ ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail (Specify Label No.): EV 085185736 US

**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.83)**

☒ Declaration
Submitted
with Initial
Filing
OR
☐ Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

Attorney Docket Number

Grubb et al.

First Named Inventor

COMPLETE/KNOWN

Application Number

Filing Date

Group Art Unit

Examiner Name

I, the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural
names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SPEED REDUCER FOR RETRACTABLE SCREEN SYSTEMS

(Title of the Invention)

the specification of which

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number

and was amended on (MM/DD/YYYY)

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, drawings,
amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-
in-part applications, material information which becomes available between the filing date of the prior application and the actual or
PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 USC 119, 131(d) or (f), or 365(b) of any foreign application(s) for patent, invention
or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other
than the United States of America, listed below and have also identified below, by checking the box, any foreign application for
patent, invention or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the
application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/72B attached hereto:
(Page 1 of 2)

Surgeon General's Statement: This form is estimated to take 21 minutes to complete. This will vary depending upon the needs of the individual case. Any comments on
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PTO/SB/01 (03-01)

Approved for use through 10/31/2002. OMB 0851-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

DECLARATION — Utility or Design Patent Application

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 City _____ Telephone _____ Fax _____
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that such false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR: ☐ A petition has been filed for this unsigned inventor

Given Name Darryl L.
 (First and middle (if any))

Family Name or Surname Grubb

Inventor's Signature *Darryl L. Grubb*

Date 7-18-01

Residence: City Scottsdale

State AZ

Country US

Citizenship US

Mailing Address Scottsdale

State AZ

ZIP 85254

Country US

NAME OF SECOND INVENTOR: ☐ A petition has been filed for this unsigned inventor

Given Name Ronald H.
 (First and middle (if any))

Family Name or Surname Scott

Inventor's Signature *Ronald H. Scott*

Date July 18, 01

Residence: City Phoenix

State AZ

Country US

Citizenship US

Mailing Address 5425 E. Thomas Road, #171

City Phoenix

State AZ

ZIP 85018

Country US

☐ Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

[Page 2 of 2]

Client ID/Matter No: CLEAV.65012

Date Mailed: July 11, 2003

Date Due: N/A

Applicant: Darryl L. Grubb et al

Utility Application - Continuation

16447 U.S. PTO

10/617944



07/11/03

EXPRESS MAIL LABEL No.: EV 085185736 US

Title: Speed Reducer for Retractable Screen Systems

Documents enclosed:

1. Utility Patent Application Transmittal (PTO/SB/05)
2. Specification in 14 pages
3. Drawings in 3 pages
4. Declaration and Power of Attorney in 3 pages
5. Copy of Declaration and Power of Attorney for parent case in 2 pages
6. Information Disclosure Statement PTO Form 892 in 1 page
7. Assignment of Invention in 2 sheets
8. Recordation Cover Sheet in 1 page
9. Preliminary Amendment in 7 sheets
10. Check for \$375.00 and \$40.00
11. Executed Certificate of Mailing
12. Acknowledgment postcard

The U.S. Patent and Trademark Office Mail Room stamp hereon acknowledges receipt of the items indicated above on the data shown.

4432

MSD:ljd